

03CO #5

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hoogland, et. al.
Serial Number: 09/826,723
Filed: April 5, 2001
Examiner: To be assigned
Group Art Unit: 1714
For: SOLVENT CAST OPTICAL FILM

COMMUNICATION REGARDING MISSING PARTS

Box Missing Parts
Honorable Commissioner of
Patents and Trademarks
Washington, DC 20231

Sir:

This letter is in response to the Notice of Incomplete Reply (Nonprovisional) dated August 27, 2001, a copy of which is enclosed. Please see attached copies of what was sent to the USPTO on July 10, 2001 including a copy of the item in question. Please note the signature you indicated as missing is present on the documents attached.

Enclosed herewith is:

- COPY of Postcard Stamped and Dated from USPTO
- COPY of Letter Transmitting Missing Parts and Fees
- COPY of USPTO communication dated 5/22/2001
- COPY Assignment and Assignment Recordation Form
Cover Sheet (6 pages)
- COPY Declaration and Power of Attorney. (5 pages)

Respectfully submitted,

Robert E. Walter
Attorney for Applicant
Registration No. 25,245
Telephone No. (413) 448-5459

GENERAL ELECTRIC COMPANY
One Plastics Avenue
Pittsfield, MA 01201
August 29, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231, on this 29 day of August, 2001

Mona J. Pizzuto



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IF MAILED
IN THE
UNITED STATES



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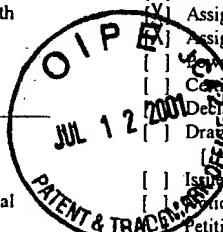
Attn: Docket Clerk, Patent Operation
General Electric Company
One Plastics Avenue
Pittsfield, MA 01201-9961

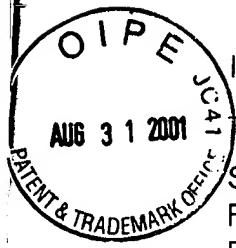


THE STAMP OF THE PATENT OFFICE, PLACED HEREON, ACKNOWLEDGES RECEIPT OF:

Attorney Docket No.: 08CV05967 Date Mailed: July 10, 2001 *REW/mjp*
Applicant: Hoogland, et. al.
Serial No.: 09/826,723 Filed: April 5, 2001
For: SOLVENT CAST OPTICAL FILM

Affidavit or Declaration Check for Filing Fee \$ _____
 Application for Patent including Amendment/Response To Office Action
_____ pages spec., _____ claims Brief, _____ copies
 Declaration and Power of Attorney or Oath Assignment, check for \$ _____
 Application for Intent-To-Use TM/SM Assignment Recordation Cover Sheet
 Application for TM/SM Reg. w/specimens Power of Attorney by Assignee
 Certificate of Express Mail 37 CFR 1.10 Certificate of Mailing Under 37 CFR 1.8(a)
Express Mail No. _____ Declaration Under Section 8 & 15
 Information Disclosure Statement Drawings: _____ sheets
 PTO Form 1449, publications enclosed Formal or Informal
 Power of Attorney Issue Fee Transmittal
 Req. for Filing Continuation Divisional Notice of Appeal
Application Under 37 CR 1.60 Petition for Extension of Time (____) months
 Verified Statement to Establish Small Entity Status with check for \$ _____
 Request for Drawing Correction Priority Document
 Transmittal Letter (in duplicate) **Missing Parts** Renewal Application TM/SM
 Other: _____





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUG 31 2001

In re Application of: Hoogland, et. al.
Serial Number: 09/826,723
Filed: April 5, 2001
Examiner: To be assigned
Group Art Unit: To be assigned
For: SOLVENT CAST OPTICAL FILM

LETTER TRANSMITTING MISSING PARTS AND FEES

Honorable Commissioner of
Patents and Trademarks
Washington, DC 20231

Sir:

This letter is in response to the Notice to File Missing Parts of Application dated May 22, 2001, a copy of which is enclosed.

Enclosed herewith is:

Assignment and Assignment Recordation Form Cover Sheet
 Declaration and Power of Attorney.

The Commissioner is hereby authorized to charge the following fees to our Deposit Account No. 07-0862.

\$40.00 fee for Recording of Assignment.
 \$130.00 surcharge for filing the Declaration on a date later than the filing date of the Application.
 Any additional fees which may be required in connection herewith or credit any overpayment.

A DUPLICATE COPY OF THIS SHEET IS ENCLOSED.

Respectfully submitted,

Robert E. Walter

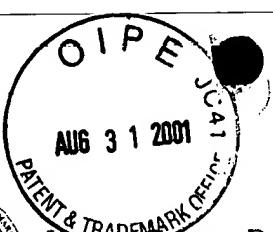
Robert E. Walter
Attorney for Applicant
Registration No. 25,245
Telephone No. (413) 448-5459

GENERAL ELECTRIC COMPANY
One Plastics Avenue
Pittsfield, MA 01201
July 10, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231, on this 10th day of July, 2001

Mona J. Pizzuto
Mona J. Pizzuto



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/826,723	04/05/2001	Gabrie Hoogland	08CV05967

CONFIRMATION NO. 6059

FORMALITIES LETTER



OC00000006101905

Robert E. Walter
GE Plastic
One Plastics Avenue
Pittsfield, MA 01201

Date Mailed: 05/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

EP
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



AUG 31 2001
PATENT & TRADEMARK OFFICE
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/826,721	04/05/2001	Gabrie Hoogland	08CV05967

CONFIRMATION NO. 6059

FORMALITIES LETTER



OC00000006478641

Robert E. Walter
GE Plastic
One Plastics Avenue
Pittsfield, MA 01201

Date Mailed: 08/27/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 07/12/2001 to the Notice to File Missing Parts (Notice) mailed 05/22/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The signature of the following inventor(s) is missing from the oath or declaration:
Kang Xi

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE